

**PATENT**

(Practitioner's Docket No. IN-5621)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Dwight J. PAKAN, Richard L. TYTE, Sr.  
and John PELLETIER

US Serial No. Herewith

Group Art Unit: Not Assigned

Filed: October 7, 2003

Examiner: Not Assigned

For: COLORATION OF PAPER BY BINDING COLORANTS IN A SURFACE  
APPLICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g) ), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

**COPIES PROVIDED:**

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☒ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except Copies of U.S. patents, patent applications, and publications as indicated below:

☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. [\_\_\_\_], filed on [\_\_\_\_\_]. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:

☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [\_\_\_\_\_] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

- ☐ Application Serial No. [ ] was published as Publication No. [ ]. The Bibliographic information for this publication is correct, but the abstract, specification, and claims are not those of [ ]. Therefore, the bibliographic page for Publication No. [ ] is provided with a copy of the specification as filed for [ ].

#### **FOREIGN LANGUAGE DOCUMENTS**

- ☐ There are no foreign language documents listed on Form PTO-1449.
- ☐ The following non-English language references were cited in the specification. The English language equivalents for these references are being submitted in substitution for the non-English references.
- ☒ English language abstract for the following non-English references are enclosed.

Derwent Accession No. 1987-346692, English abstract for SU1305231, 1987-04-23.  
Derwent Accession No. 1988-283569, English abstract for SU1381222, 1988-03-15.  
Derwent Accession No. 1989-063969, English abstract for JP01014396, 1989-01-18.  
Derwent Accession No. 1993-121907, English abstract for JP05059690, 1993-03-09.  
Machine Translation of JP05059690 from JPO 1993-03-09.  
Derwent Accession No. 1994-355338, English abstract for JP06280194, 1994-10-04.  
Machine Translation of JP06280194 from JPO 1994-10-04.  
Derwent Accession No. 1994-355339, English abstract for JP06280195, 1994-10-04.  
Machine Translation of JP06280195 from JPO, 1994-10-04.  
Derwent Accession No. 1994-355340, English abstract for JP06280196, 1994-10-04.  
Machine Translation of JP0620196 from JPO, 1994-10-04.  
Derwent Accession No. 1995-111168, English abstract for JP07034397, 1995-02-03.  
Machine Translation of JP07034397 from JPO, 1995-02-03.  
Derwent Accession No. 1996-368492, English abstract for JP08176520, 1996-07-09.  
Machine Translation of JP08176520 from JPO, 1996-07-09.  
Derwent Accession No. 1996-451003, English abstract for JP08225685, 1996-09-03.  
Machine Translation of JP08225685 from JPO, 1996-09-03.  
Derwent Accession No. 1996-451004, English abstract for JP08225686, 1996-09-03.  
Machine Translation of JP08225686 from JPO, 1996-09-03.  
Derwent Accession No. 1997-242366, English abstract for JP09078494, 1997-03-25.  
Machine Translation of JP09078494 from JPO, 1997-03-25.  
Derwent Accession No. 1997-368530, English abstract for JP09156203, 1997-06-17.  
Machine Translation of JP09156203 from JPO, 1997-06-17.  
Derwent Accession No. 1998-292346, English abstract for JP10101983, 1998-04-21.  
Machine Translation of JP10101983 from JPO, 1998-04-21.  
Derwent Accession No. 1999-324044, English abstract for JP11117192, 1999-04-27.  
Machine Translation of JP11117192 from JPO, 1999-04-27.

- ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material:
- ☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.

Information Disclosure Statement  
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- ☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:
- ☐ Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.
- ☐ A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:
- ☐ A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification:

**CERTIFICATION:**

- ☒ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**
- ☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$180.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**

Information Disclosure Statement  
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- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **AND**
- ☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$130.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for any additional fees due hereunder.

Reg. No.: **42,906**

  
\_\_\_\_\_  
SIGNATURE OF ATTORNEY and DATE

**Michael F. Morgan** **October 7, 2003**  
Type or print name of attorney

Tel. No.: ( 248 ) 948-2355

**BASF Corporation**  
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FORM PTO-1449 (MODIFIED)	US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Atty. Docket No. IN-5621
LIST OF ART CITED BY APPLICANT		In re Application of: Dwight PAKAN et al.
		Serial No.:
		Filed:

**U.S. PATENT DOCUMENTS**

Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2002/0001698	01/03/02	Blankenship et al.			04/16/01
	AB	5,241,054	08/31/93	Stingelin			12/17/90
	AC	6,187,389	02/13/01	Overcash et al.			05/03/02
	AD	6,197,880	03/06/01	Nigam			03/31/99

**FOREIGN PATENT DOCUMENTS**

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AE	EP 0 109 463	30.05.84	EP			X	
	AF	GB1234880	09.06.71	GB			X	

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AG	Derwent Accession No. 1987-346692, English abstract for SU1305231, 1987-04-23.
	AH	Derwent Accession No. 1988-283569, English abstract for SU1381222, 1988-03-15.
	AI	Derwent Accession No. 1989-063969, English abstract for JP01014396, 1989-01-18.
	AJ	Derwent Accession No. 1993-121907, English abstract for JP05059690, 1993-03-09.
	AK	Machine Translation of JP05059690 from JPO 1993-03-09.
	AL	Derwent Accession No. 1994-355338, English abstract for JP06280194, 1994-10-04.
	AM	Machine Translation of JP06280194 from JPO 1994-10-04.
	AN	Derwent Accession No. 1994-355339, English abstract for JP06280195, 1994-10-04.
	AO	Machine Translation of JP06280195 from JPO, 1994-10-04.
	AP	Derwent Accession No. 1994-355340, English abstract for JP06280196, 1994-10-04.
	AQ	Machine Translation of JP0620196 from JPO, 1994-10-04.
	AR	Derwent Accession No. 1995-111168, English abstract for JP07034397, 1995-02-03.
	AS	Machine Translation of JP07034397 from JPO, 1995-02-03.
	AT	Derwent Accession No. 1996-368492, English abstract for JP08176520, 1996-07-09.
	AU	Machine Translation of JP08176520 from JPO, 1996-07-09.
	AV	Derwent Accession No. 1996-451003, English abstract for JP08225685, 1996-09-03.
	AW	Machine Translation of JP08225685 from JPO, 1996-09-03.
	AX	Derwent Accession No. 1996-451004, English abstract for JP08225686, 1996-09-03.
	AY	Machine Translation of JP08225686 from JPO, 1996-09-03.
	AZ	Derwent Accession No. 1997-242366, English abstract for JP09078494, 1997-03-25.
	BA	Machine Translation of JP09078494 from JPO, 1997-03-25.
	BB	Derwent Accession No. 1997-368530, English abstract for JP09156203, 1997-06-17.
	BC	Machine Translation of JP09156203 from JPO, 1997-06-17.
	BD	Derwent Accession No. 1998-292346, English abstract for JP10101983, 1998-04-21.
	BE	Machine Translation of JP10101983 from JPO, 1998-04-21.
	BF	Derwent Accession No. 1999-324044, English abstract for JP11117192, 1999-04-27.
	BG	Machine Translation of JP11117192 from JPO, 1999-04-27.

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Applicant has modified this form by deleting the word "prior".